MAY 0 3 2004  Under the Paperwork Reduction Act of 1995, no per RADE RANSMITTAL FORM  (to be used for all correspondence after initial filing)  Total Number of Pages in This Submission	Filing Date First Named Inventor Art Unit Examiner Name	Approved for use through 07/31/2006. OMB 0651-0031 ratent and Trademark Office; U.S. DEPARTMENT OF COMMERCE rection of information unless it displays a valid OMB control number. 10/629,026  July 28, 2003  GREGORY A. DEAN  2643  Not yet assigned  YAK 334C
E	NCLOSURES (Check all	that apply)
Certified Copy of Priority	Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a Provisional Application Power of Attorney, Revocatio Change of Correspondence A  Terminal Disclaimer  Request for Refund  CD, Number of CD(s)  emarks  PRM PTO-1449 (seven page)	Other Enclosure(s) (please Identify below):
SIGNATUR	E OF APPLICANT, ATTO	RNEY, OR AGENT
I hereby certify that this correspondence is being f	IFICATE OF TRANSMISS acsimile transmitted to the USPT	ON/MAILING O or deposited with the United States Postal Service with Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on
Typed or printed name Suzanne Werner		

Signature

Date April 29, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to

process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

# THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Date: April 29, 2004

GREGORY A. DEAN and SCOTT R. ALLEN

Serial No.

10/629,026

Group Art Unit: 2643

Filed

July 28, 2003

For

**BICYCLE CARRIER** 

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.56, 1.97, and 1.98

Applicants are submitting this Information Disclosure Statement pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98 to disclose to the U.S. Patent and Trademark Office the patents, publications, applications, and/or other references listed on the enclosed, completed PTO-1449 form(s). The filing of this Information Disclosure Statement should not be construed as a representation that a search has been made or as an admission that the listed references are prior art for this application. Applicants respectfully request that the listed references be expressly considered during prosecution of the application, and that the references be made of record therein and appear among the "references cited" on any patents issuing therefrom.

Page 1 – INFORMATION DISCLOSURE STATEMENT . . . Serial No. 10/629,026 Our Docket No. YAK 334C

#### CONTENT OF DISCLOSURE

This Information Disclosure Statement includes seven pages of PTO-1449 forms; however, it does <u>not</u> include copies of the references listed on the forms, as permitted under 37 C.F.R. § 1.98(d), because copies already were provided in an earlier U.S. application (Serial No. 10/196,330 filed July 15, 2002, which application is a divisional application of U.S. Patent Application Serial No. 09/910,649 filed July 20, 2001, which application is a divisional application of U.S. Patent Application Serial No. 09/447,908 filed November 23, 1999) that is relied on here for an earlier effective filing date under 35 U.S.C. § 120.

## FOREIGN-LANGUAGE REFERENCES

A concise explanation of the relevance of each listed reference not in the English language follows:

German Patent No. 3034750-A1 - This patent shows a fork block for a roof rack, as illustrated in the figures and described in the English language abstract.

German Patent No. 4208064-A1 - This patent shows a bicycle mounting system, as illustrated in the figures and described in the English language abstract.

<u>Italian Patent No. 1189908</u> - This patent shows a locking device for a helmet, as illustrated in the figures.

<u>Italian Patent No. 1236808</u> - This patent shows a locking device for ski boots, as illustrated in the figures.

<u>French Patent No. 73.02159</u> - This patent involves a hub locking device for a bicycle.

Page 2 – INFORMATION DISCLOSURE STATEMENT . . . Serial No. 10/629,026
Our Docket No. YAK 334C

French Patent No. 75 36256 - This patent involves a rack for carrying a bicycle on top of a car.

## TIMING OF DISCLOSURE / FEE INFORMATION

This Information Disclosure Statement is being filed, to the best of the undersigned's knowledge, either (1) before the mailing of a first Office action on the merits, or (2) before the mailing of a first Office action after the filing of a request for continued examination under 37 C.F.R. § 1.114. Therefore, in accordance with 37 C.F.R. § 1.97(b), no fee or statement under 37 C.F.R. § 1.97(e) is required.

Please contact the undersigned with any questions or comments regarding this Information Disclosure Statement.

#### **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on April 29, 2004.

Suzanne Werner

Respectfully submitted,

KOLISCH HARTWELL, P.C.

Pierre C. Van Rysselberghe

Reg. No. 33,557

Customer No. 23581

520 S.W. Yamhill Street, Suite 200

Portland, Oregon 97204

Telephone: (503) 224-6655 Facsimile: (503) 295-6679

Attorney for Applicant/Assignee

SHEET\_4\_ OF \_7

			<del> </del>		SHEET_4_O	<u>r</u>				
FORM PTO-1	449		DOCKET NUMBE YAK 334C	DOCKET NUMBER APPLICATION NUMBER YAK 334C 10/629,026						
	FORMATION DISC ATION IN AN APPL		APPLICANTS GREGORY A.	APPLICANTS GREGORY A. DEAN, SCOTT R. ALLEN						
ŕ			FILING DATE July 28, 2003	UNIT						
		U.S. P.	ATENT DOCUMEN	NTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME CI		SUB CLASS	FIL. DATE .IF APPROP.				
	5,092,504	3/3/1992	Hannes et al.							
	5,118,125	6/2/1992	Plunkett							
	5,127,564	7/7/1992	Romero							
	5,169,044	12/8/1992	Englander							
	5,201,911	4/13/1993	Lee							
	5,226,341	7/13/1993	Shores							
	5,232,134	8/3/1993	Allen							
	5,265,897	11/30/1993	Stephens							
	5,275,319	1/4/1994	Ruana							
	5,291,763	3/8/1994	Cuisinot	Cuisinot						
	5,362,173	11/8/1994	Ng							
	5,427,286	6/27/1995	Hagerty	<u></u>						
		FOREIGN	I PATENT DOCUM	IENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	COUNTRY CLASS		TRANSLATION YES NO				
			OTHER DOCUM	IENTS						
EXAMINER			DATE CONSIDE	RED						

SHEET\_5\_OF\_7

FORM PTO-1449  INFORMATION DISCLOSURE  CITATION IN AN APPLICATION			l l	DOCKET NUMBER APPLICATION NUMBER 10/629,026				
			l l	APPLICANTS GREGORY A. DEAN, SCOTT R. ALLEN				
·			NG DATE y 28, 2003		GROUP ART UNIT 2643			
		U.S. P.	ATENT	DOCUMEN'	ΓS			
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME		CLASS	SUB CLASS		DATE PPROP.
	5,435,475	7/25/1995	Hudso	on et al.				
	5,476,200	12/19/1995	Wong					
	5,479,836	1/2/1996	Chang	5		·		
	5,492,258	2/20/1996	Brunn	er				
	5,511,894	4/30/1996	Ng					
	5,516,020	5/14/1996	Lawler et al.					
	5,526,971	6/18/1996	Despa	Despain				
·	5,560,498	10/1/1996	Porter					•
	5,560,666	10/1/1996	Vieira	Vieira et al.				
	5,570,825	11/5/1996	Cona					
	5,579,972	12/3/1996	Despa	Despain				
	5,582,044	12/10/1996	Bolich	1				
		FOREIGN	I PATE	NT DOCUMI	ENTS			
	DOCUMENT NUMBER	DATE	(	COUNTRY	CLASS	SUB CLASS	TRAN: YES	SLATION NO
-								
		·	ОТН	ER DOCUMI	ENTS			
							,	
			<del> </del>					
EXAMINER				DATE CONSIDER	EU			

SHEET 6 OF 7

			<del></del>			<del>- 5111</del>	EEI_B_UF			
FORM PTO-1449			DOCKET NUMBER YAK 334C			APPLICATION NUMBER 10/629,026				
	NFORMATION DISC ATION IN AN APPI			APPLICANTS GREGORY A. DEAN, SCOTT R. ALLEN						
				FILING DATE July 28, 2003		1	ROUP ART (	UNIT		
		U.S. P.	ATF	ENT DOCUMENT	rs	<u> </u>				
EXAMINER INITIAL	DOCUMENT NUMBER	DATE		NAME	CLASS	;	SUB CLASS		DATE PROP.	
	5,598,959	2/4/1997	Lo	orensen et al.				. · <u></u>		
	5,611,472	3/18/1997	M	liller						
	5,699,684	12/23/1997	St	ulin						
	5,699,945	12/23/1997	M	licklish		_		<u> </u>		
	5,709,521	1/20/1998	G!	lass et al.						
	5,738,258	4/14/1998	Fa	arrow et al.					,	
	5,749,694	5/12/1998	A	ckerman et al.						
	5,779,116	7/14/1998	Ro	osch et al.						
	5,875,947	3/2/1999	N	oel et al.						
	6,053,336	4/25/2000	Re	eeves						
	6,167,735	1/2/2001	Bı	rown						
	6,202,458	3/20/2001	Bı	uchalter						
	6,260,931	7/17/2001	St	tewart		•				
		FOREIGN	- N P/	ATENT DOCUME	ENTS					
	DOCUMENT NUMBER	DATE		COUNTRY	CLASS	;	SUB CLASS	TRANS YES	SLATION NO	
			_ L		<u> </u>					
			(	OTHER DOCUME	INTS					
EXAMINER		·		DATE CONSIDERE	≟D				<del></del>	

SHEET 7 OF 7

•	[ <del></del>							SHE	<u> ET_<b>7</b></u> OF	_7	
•						DOCKET NUMBER APPLICATION N YAK 334C 10/629,026			NUMBER		
					APPLICANTS GREGORY A. DEAN, SCOTT R. ALLEN						
						NG DATE 28, 2003		GRC 264	OUP ART	UNIT	
			U.S. I	PAT	ENT I	DOCUMENT	S				
	EXAMINER INITIAL	DOCUMENT NUMBER	DATE			NAME	CLASS		SUB CLASS		DATE PROP.
		6,284,210	9/4/2001	De	an et	al.					
		6,460,708	10/8/2002	De	an et	al.					
					-						
_											
						·					
											<del></del>
•	·						,				•
_											
				,			<del></del>	<del> </del>			
			FOREIG	N P.	ATEN	IT DOCUME	NTS				
		DOCUMENT NUMBER	DATE		cc	DUNTRY	CLASS		SUB CLASS	TRANS YES	LATION NO
								_			
							<del></del>		<u> </u>		<del></del>
	OTHER DOCUMENTS									<del></del>	
								•		<u> </u>	
	EXAMINER			•		DATE CONSIDEREI	)				
	II				I						

PTO-1449

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

SHEET\_1\_ OF \_7 DOCKET NUMBER

**YAK 334C** 

APPLICATION NUMBER 10/629,026

APPLICANTS

GREGORY A. DEAN, SCOTT R. ALLEN

FILING DATE July 28, 2003 GROUP ART UNIT

2643

				July	20, 2003		.043		
			U.S. P.	ATENT	DOCUMEN'	ΓS			
EXAMINER INITIAL	DOCUMENT NUMBER		DATE	ATE NAME		CLASS	SUB CLASS		DATE PPROP.
	2,57	6,222	9/22/1948	Hill			ļ		
	3,23	9,115	3/8/1966	Bott e	t al				
	3,82	8,993	8/13/1974	Carte	•				
	3,84	8,784	11/19/1974	Shima	ıno et al.				
	3,89	3,568	7/8/1975	Lile					
	3,91	2,139	10/14/1975	Bown	nan				
	4,02	8,915	6/14/1977	Stahl					
	4,05	7,182	11/8/1977	Kolkh	orst, et al.				
	4,05	7,183	11/8/1977	Ness					
	4,11	4,409	9/19/1978	Scire					
•	4,274,569 4,386,709		6/23/1981	Winte	Winter et al.				
			6/7/1983	Graber					
			FOREIGN	N PATE	NT DOCUMI	ENTS			
		OCUMENT NUMBER	DATE	C	DUNTRY	CLASS	SUB CLASS	TRAN YES	SLATION NO
	303	4750A1	4/29/1982	German	y				XX
	4208064A1 5/6		5/6/1993	German					XX
				OTHI	ER DOCUMI	ENTS			
		U.S. Publication No. US 2002/0026816 A1 published March 7, 2002 to Katsource et al. for a LOCKABLE QUICK RELEASE CONNECTION MECHANISM							
<del></del>		535xt C	lassic Fork Mo	unt Instr	uctions, Thul	e U.S.A., u	ndated.		_
XAMINER					DATE CONSIDER	ED			

SHEET\_2\_OF\_7

FORM PTO-1	449		DOCKET NUMBER YAK 334C	I						
	FORMATION DISC ATION IN AN APPL		APPLICANTS GREGORY A. D							
			FILING DATE July 28, 2003		GROUP ART UNIT 2643					
		U.S. P.	ATENT DOCUMENT	ΓS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FIL. DATE IF APPROP.				
	4,400,038	8/23/1983	Hosokawa							
_	4,433,786	2/28/1984	Wahl							
	4,442,961	4/17/1984	Bott							
	4,469,257	9/4/1984	Parker							
	4,473,176	9/25/1984	Harper	Harper						
	4,621,873	11/11/1986	Weinstein et al.  Jacquet							
	4,629,104	12/16/1986								
	4,702,401	10/27/1987	Graber et al.							
	4,724,692	2/16/1988	Turin et al.							
	4,842,148	6/27/1989	Bowman							
	4,887,754	12/19/1989	Boyer et al.			·				
	4,934,572	6/19/1990	Bowman et al.	<u> </u>						
	-	FOREIGN	N PATENT DOCUME	ENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO				
	1189908	2/10/1988	Italy			XX				
	1236808	4/2/1993	Italy			XX				
			OTHER DOCUMI	ENTS						
EXAMINER			DATE CONSIDERI	ED						

SHEET 3 OF 7

FORM PTO-1	449	:	DOCKET NUMBER APPLICATION NUMBER YAK 334C 10/629,026							
	FORMATION DISC ATION IN AN APPI		APPLICANTS GREGORY A. D	APPLICANTS GREGORY A. DEAN, SCOTT R. ALLEN						
			FILING DATE July 18, 2003		GROUP ART	UNIT				
: 		U.S. PA	TENT DOCUMENT	rs						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FIL. DATE IF APPROP.				
	4,938,475	7/3/1990	Sargeant et al.							
	4,964,287	10/23/1990	Gaul							
	5,005,390	4/9/1991	Giannini et al.							
	5,007,260	4/16/1991	Sharp							
	5,007,568	4/16/1991	Da Vault							
	5,014,890	5/14/1991	5/14/1991 Perry 6/4/1991 Kalbach							
	5,020,708	6/4/1991								
•	5,027,628	7/2/1991	De Rocher et al.							
	5,037,019	8/6/1991	Sokn							
	5,042,705	8/27/1991	Johansson							
	5,052,605	10/1/1991	Johansson							
	5,065,921	11/19/1991	Mobley	<u></u>						
		FOREIGN	PATENT DOCUME	ENTS						
	DOCUMENT NUMBER	DATE	COUNTRY CLASS		SUB CLASS	TRANSLATION YES NO				
	73.02159	111/10/1974	France			X				
	75 36256	France			X					
			OTHER DOCUME	ENTS						
EXAMINER			DATE CONSIDERE	ED						